OMB Control No. 2120-0024

Exp. 06/30/2014

Paperwork Reduction Act Statement: The information collected is used to register aircraft. The information is required to determine eligibility of an applicant to receive a Dealer's Certificate and issue same to correct name and address. We estimate that it will take 30 minutes to complete. Use of this form is optional. Please note that an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number associated with this collection is 2120-0024. Comments concerning the accuracy of this burden and suggestions for reducing the burden should be directed to the FAA at: 800 Independence Ave SW, Washington, DC 20591, Attn: Information Collection Clearance Officer, AES-200.



U.S. Department of Transportation

## DEALER'S AIRCRAFT REGISTRATION CERTIFICATE APPLICATION

INSTRUCTIONS: Mail this application with check or money order, payable to FEDERAL AVIATION Federal Aviation ADMINISTRATION to Civil Aviation Registry, P.O. Box 25504, Oklahoma City, OK 73125 Administration Telephone: 405-954-3116 1. NAME & MAILING ADDRESS OF APPLICANT 2. ADDRESS OF PRINCIPAL PLACE OF BUSINESS (No., Street, City, and ZIP code.) NOTE: PHYSICAL LOCATION/ADDRESS REQUIRED, P.O. BOX IS UNACCEPTABLE TELEPHONE: (Include Area Code) 3. BUSINESS THE APPLICANT IS SUBSTANTIALLY ENGAGED IN (Check one) 4. OWNERSHIP (Check one) Manufacture of aircraft Individual (1) The distribution or sale of new aircraft under authority of a franchise, license, letter of authority, Partnership (2)agreement, or other arrangement from the manufacturer or his authorized agent. Corporation The distribution or sale of used aircraft through ordinary trade channels (3)Co-Ownership (4) Non-Citizen Corporation granted exemption from 14 CFR 47.65 5. APPLICATION IS HEREBY MADE FOR DEALER'S AIRCRAFT REGISTRATION CERTIFICATE NUMBER OF CERTIFICATES APPLIED FOR AMOUNT OF CHECK OR MONEY ORDER ATTACHED \$ ATTENTION! Read the following before signing this application A false or dishonest answer to any question in this application may be grounds for punishment by fine, or imprisonment, or both. (18 U.S.C. 1001) CERTIFICATION: I hereby certify that each person whose name appears hereon as an applicant is a citizen of the United States as defined in Title 49 United States Code 40102(a)(15), OR is a non-citizen corporation which has been granted Exemption number. to the requirements of Title 14 C.F.R. 47.65 (U.S. citizenship), AND has an established place of business located at the address set forth in item 2 of this application; and that the applicant is substantially engaged in the manufacture of aircraft, the distribution or sale of new aircraft, or the distribution or sale of used aircraft as set forth in item 3 of this application. TITLE (MUST AGREE WITH OWNERSHIP CHECKED IN ITEM 4) DATE SIGNATURE AND NAME TYPED OR PRINTED

## **GENERAL INFORMATION**

The Federal Aviation Act provided for issuance of dealer's aircraft registration certificates to be used as an alternative for the certificate of aircraft registration. This form identifies the applicant as a person engaged in the business of manufacturing, distributing, or selling aircraft. The signature certifies U.S. citizenship as required by the Act. Incomplete submission will prevent or delay issuance of dealer's aircraft registration certificates.

- 1. A citizen of the United States means (a) an individual who is a citizen of the United States; or (b) a partnership each of whose partners is an individual who is a citizen of the United States; or (c) a corporation or association organized under the laws of the United States or a State, the District of Columbia, or a Territory or possession of the United States, of which the president and at least two-thirds of the board of directors and other managing officers are citizens of the United States, and in which at least 75 percent of the voting interest is owned or controlled by persons that are citizens of the United States. Title 49 United States Code 40102(a)(15).
- 2. Before operating an aircraft he owns while using his dealer's aircraft registration certificate, the holder of the certificate (other than a manufacturer) must send to the Civil Aviation Registry evidence satisfactory to the Administrator that he is the owner of the aircraft. (Limitations may be found in Section 47.69 of the Federal Aviation Regulations.)
- 3. A Dealer's Aircraft Registration Certificate expires one year after the date on which it was issued. All additional certificates expire on the date of expiration of the original certificate.
- 4. The fee for a Dealer's Aircraft Registration Certificate is \$10 and \$2 for each additional Dealer's Aircraft Registration Certificate issued to the same dealer.

<u>PRIVACY ACT OF 1974 (PL 93-579)</u> requires that users of this form be informed of the authority which allows the solicitation of the information and whether disclosure of such information is mandatory or voluntary; the principal purpose for which the information is intended to be used; the routine uses which may be made of the information gathered; and the effects, if any, of not providing all or any part of the requested information.

Title 49 U.S.C. 44101 requires the registration of each United State civil aircraft as a prerequisite to its operation. An aircraft is eligible for registration only: (1) if it is not registered under the laws of any foreign country; and (2) if it is owned by (a) a citizen of the United States; (b) an individual citizen of a foreign country who has lawfully been admitted for permanent residence in the United States; or (c) a corporation lawfully organized and doing business under the laws of the United States or any State thereof so long as such aircraft is based and primarily used in the United States; or (d) a government unit. Operation of an aircraft that is not registered may be subject the operator to a civil penalty.

This form is to be completed by parties wishing to obtain a Dealer's Aircraft Registration Certificate. The is an alternative to the Aircraft Certificate of Registration. The form identifies the name and permanent address for mailing of the dealer certificate and the location of the principal place of business.

The following routine uses are made of the information gathered:

- (1) To determine that aircraft are registered in accordance with the provisions of the 49 U.S.C. 44102.
- (2) To support investigative efforts of investigation and law enforcement agencies of Federal, state, and foreign governments.
- (3) To serve as a repository of legal documents used by individuals and title search companies to determine the ownership of an aircraft for registration purposes.
- (4) To provide aircraft owners and operators information about potential mechanical defects or unsafe conditions of their aircraft in the form of airworthiness directives.
- (5) To provide supporting information in court cases.
- (6) To serve as a data source for management information for production of summary descriptive statistics and analytical studies in support of agency functions for which the records are collected and maintained.
- (7) To respond to general requests from the aviation community or the public for statistical information under the Freedom of Information Act or to locate specific individuals or specific aircraft for accident investigation, violation, or other safety related requirements.
- (8) To provide data for the automated aircraft registration master file.
- (9) To provide documents for development of the aircraft registration statistical system.
- (10) To prepare an aircraft register in electronic media as required by ICAO agreement containing information on aircraft owners by name, address, N-Number, and type aircraft, used for internal FAA safety program purposes and also available to the public (individuals, aviation organizations, direct mail advertisers, state and local governments, etc.) upon payment of applicable user charges reimbursing the Federal Government for its costs.
- (11) The aircraft records maintained by the FAA Aircraft Registry are public records and are open for inspection in room 122 of the Registry Building, Mike Monroney Aeronautical Center, 6425 S. Denning, Oklahoma City, Oklahoma 73169. Individuals interested in such information may make a personal search of the records or may avail themselves of the services of a company or an attorney.